

Wireless Passive SAW Identification Marks and Sensors

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Outline

- Introduction: Classical SAW Sensors
- SAW Radio Read Out
- SAW Identification Tags
- SAW Radio Readable Sensors
- Application Examples
- Conclusion



Surface Acoustic Waves (SAW's)



At the same frequency, the acoustic wavelength is 10⁻⁵ times that of electromagnetic waves.



Seismologic surface acoustic wave

San Francisco, City Hall



April 17, 1906

April 18, 1906



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History of SAW

- 1885 Lord Rayleigh characterizes Surface Acoustic Waves (earth quake)
- 1965 Invention of the Interdigital Transducer (White/Voltmer)
- 1970 First applications: pulse expansion and compression in radar systems
- **1985** SAW filters replace LC filter in TVs and VCRs
- 1990 SAW filters allow for miniaturization of mobile phones



Wave Excitation and Detection: IDTs



Top view



Cross sectional view A-B

Interdigital Transducer (IDT) as

- transmitter: converse piezoelectric effect \Rightarrow electric RF field generates SAW
- receiver: piezoelectric effect \Rightarrow SAW generates electric RF field

In both cases maximum coupling strength for $\lambda_{\text{SAW}} = v_{\text{SAW}} / f = 2 \cdot p ~(\sim 1...10 \ \mu\text{m})$

SEM-photo of an interdigital transducer and two SAW pulses



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Properties of some commonly used substrata materials

Materia	l Orienta	ation ¹⁾	Wave type	v _{ph}	<i>k</i> ²	TCD	Loss (d	lb/μs)
	Cut	Prop.		(m/s)	(%)	(ppm/°C)	433 MHz	2.45GHz
Quartz	ST	Х	gen. RW	3158	0.1	0	0.75	18.6
	37°rotY	90°rotX	SH wave	5094	≈ _{0.1}	0	_ 3)	-3)
LiNbO	3 Y	Ζ	pure RW	3488	4.1	94	0.25	5.8
	41°rotY	X le	eaky SH wave	4750	15.8	69	_ 3)	-3)
	128°rotY	X	gen. RW	3980	5.5	75	0.27	5.2
LiTaO ₃	36°rotY	X le	eaky SH wave	4220	≈6.6	30	1.35	20.9
5	Х	112°rotY	gen. RW	3301	0.88	18	-	-

- 1) Cut = crystalline orientation of the substrate surface normal;
- 2) Prop. = crystalline orientation of the wave propagation direction.
- 3) Depends on metallization.

The design of SAW devices is based on

- **signal theory** (e.g. Impulse response modelling)
- **network theory** (e.g. P-Matrix-formalism, coupling of modes, equivalent circuit, angular spectrum of strait-crested waves)
- field theory (e.g. FEM)



P-Matrix Formalism





Top view

$$\begin{pmatrix} b_1 \\ b_2 \\ i \end{pmatrix} = \mathbf{P} \begin{pmatrix} a_1 \\ a_2 \\ u \end{pmatrix} = \begin{pmatrix} P_{11} & P_{12} & P_{13} \\ P_{21} & P_{22} & P_{23} \\ P_{31} & P_{32} & P_{33} \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \\ u \end{pmatrix}$$

with P₃₃: transducer admittance [conductance], P₁₃ and P₂₃: stimulation elements [$\sqrt{\text{conductance}}$], P₁₁ and P₂₂: reflection elements [], P₁₂ and P₂₁: transmission elements [].

SAW Device Modelling



SAW Fabrication



Fabrication - Electrode Widths



European ISM bands: 434 MHz, 869 MHz, 2.45GHz, 5,8GHz



Classical SAW Band pass Filter



Block Diagram of a Typical Transceiver





Sensitivity

A delay line with centre-to-centre transducer spacing L exhibits a delay time τ of $\tau = L/v$. Hence, a small variation Δ in a measurand x, like a variation of the

temperature ΔT results in change of the delay time change $\Delta \tau$ of

$$\frac{\Delta \tau}{\tau} (\Delta \vartheta) = \frac{\Delta L}{L} (\Delta \vartheta) - \frac{\Delta v}{v} (\Delta \vartheta)$$

$$= \frac{1}{L} \cdot \frac{\partial}{\partial} \frac{L}{\vartheta} \cdot \Delta \vartheta - \frac{1}{V} \cdot \frac{\partial}{\partial} \frac{V}{\vartheta} \cdot \Delta \vartheta$$
$$= \left(\mathbf{S}_{\mathrm{T}}^{\mathrm{L}} - \mathbf{S}_{\mathrm{T}}^{\mathrm{v}} \right) \cdot \Delta \vartheta \equiv S_{\mathrm{T}}^{\mathrm{\tau}} \cdot \Delta \vartheta \equiv TCD \cdot \Delta \vartheta$$



Sensitivity

and

The sensitivity S_v^x gives the change of the parameter x on the quantity y

 $S_{\mathcal{Y}}^{\mathcal{X}} = \frac{1}{x} \cdot \frac{\partial x}{\partial v}$

For SAW devices a change of velocity v or delay length L results in a change of the electrical measurable quantities

delay time
$$\tau$$
, $\tau(y_0 + \Delta y) = \tau(y_0) \cdot \left[1 + S_T^{\tau} \cdot \Delta y\right]$
corresponding phase φ , $\varphi(y_0 + \Delta y) = \varphi(y_0) \cdot \left[1 + S_T^{\tau} \cdot \Delta y\right]$
and the centre frequency $f: f(y_0 + \Delta y) = f(y_0) \cdot \left[1 - S_T^{\tau} \cdot \Delta y\right]$

Basic electronic circuitry for active SAW sensing





Measurand	Device	Freq.	Substrate	Sensitivity			
		(MHz)		Value	Unit	Selected SAW	
Pressure	DL	105	Quartz	3.8	ppm/kPa	1 • 1	
	DL	90	AlN/Si	27	ppm/kPa	nhysical sensor	
Force	DL	8.3	LiNbO ₃	10.8	ppm/kN	pilysical senser	
Strain	R	140.2	Quartz	1.28	ppm/10 -6	frame litanature	
	DL	10.9	PZT	21	ppm/10 ⁻⁶	from meralur	
Position (linear)	DL	8.3	LiNbO ₃	120.5	ppm/ ^µ m		
Position (angular)	R	434	Quartz	2.86	ppm/mrad		
Acceleration	DL	251	Quartz	45	$ppm/(m/s^2)$		
	DL	10.9	PZT	8.7	$ppm/(m/s^2)$		
Rotation rate	DL	10.9	PZT	25.7	ppm/s ⁻²		
Flow rate (gas)	DL	73	LiNbO 3	204	$ppm/(cm^3/s)$		
Flow rate (liquid)	DL	68	LiNbO ₃	105	$ppm/(mm^3/s)$		
Liquid viscosity	DL	30	LiNbO 3	2.7	ppm/cP		
Liquid density	DL	6	ZnO/Si_XN_V	30000	$ppm/(g/cm^3)$		
Electric field	DL	900	LiNbO ₃	141	$ppm/(V/ \mu_m)$		
(normal)	R	85	$Li_2B_4O_7$ on	300	$ppm/(V/\mu m)$		
			piezoceramic		rr (())		
Electric field	DL	1000	LiNbO 3	120	$ppm/(V/\mu_m)$		
(transv.)			-				
Voltage	DL	900	LiNbO 3	0.93	ppm/V	From :	
Liquid conductivity	DL	51	LiTaO 3	13400	ppm/(S/m)	G. Fischerauer, "Surface Acoustic Wave Devices,"	
Magnetic field	DL	140	Fe-B/ Quartz	0.38	ppm/(A/m) III. W	R Iones (Eds)	
Temperature	DL	43	LiNbO ₃	92.13	ppm/°C	Sensors. A Comprehensive Survey, Vol. 8. Weinheim:	
Radiation dose	R	199	Quartz	0.48	ppm/(J/kg) ^{0.5}	VCH, 1995	
Thin film thickness	DL	75	LiNbO ₃	9.25	ppm/nm	(References: see there)	

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Operating Principle of Wireless Identification or Sensor Systems





Separation of the sensor response from the request signal



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Operating Principle of Wireless SAW -Identification or Sensor Systems



advantages:

- wireless read out, read out distance \sim m
- transponder is passive & maintenance free
- free of ageing ("Quartz-stable")

The time division of the RF response of a SAW transponder





Design of Reader Units

The reader units of wireless SAW identification or sensor systems applications resemble those used in traditional radar, and all designs used in radar technologies can be applied:

Time domain sampling using pulse radar

- + suitable for measuring with a high dynamic resolution of fast changing or moving objects
- + very simple duplexer by using a switching device
- expensive due to the necessity of fast sampling and fast signal processing devices
- low range due to a low duty cycle

Time domain sampling using a chirp radar

same as a pulse radar, but with an increased range, because the TB-product improves the duty cycle
<u>Restriction</u>: Only medium processing gains are possible: B is restricted by the operating bandwidth of the SAW transponders, and T is restricted by the initial delay of the transponders

Frequency domain sampling using a network analyser structure

- + low cost and low speed standard components
- + lower demand on the signal processing devices
- + high range due to maximum duty cycle
- suitable only for measuring low speed changing or moving objects
- only a circular device or two separated antennas as duplexer is possible
- a high dynamic range of the receiver architectures is necessary

Frequency domain sampling using a FMCW design

same as a network analyser structure, but with a higher dynamic resolution due to the improved measuring speed
<u>But:</u> A high speed Fourier Transform is needed

Reader units utilizing time domain sampling

High speed, but high cost due to fast components



... assuming fast changing measurands:

- the frequency band is transmitted in one burst
- the duty cycle may be enhanced by using chip signals
- need a fast sampling of the response signal

Reader units utilizing frequency domain sampling

very low speed, low cost standard components



... assuming slowly changing measurands:

• the frequency of the transmitted bursts is varied step by step C1rcu

Circuitry is like a network analyser

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Reader units utilizing a FMCW principle



Modular structure of reader units in a FMCW radar system

DSP Unit

- FFT
- Communication
- System configuration
- FPGA programming

Baseband Unit

- generates the frequency modulation
- A/D converter of the echo signal (time domain)
- controls Aux I/O

RF Unit

- transmits (Tx) and receives (Rx) in the 2.45 GHz ISM Band
- mixing of Tx / Rx



Extended Block diagram of a time domain sampling reader unit

Noise Figure

5 dB



Reader Unit operating at 2.45GHz, built up using standard ICs.

RF printed circuit board



RF part of a Reader Unit operating at 434MHz





Folded spiral antenna for the 434 MHz band





Geometry with ground plane



Antenna in a steel package filled with polymer (diameter = 20mm).

ID System OIS-W





A company of the **Baumer** electric Group



Estimation of the request distance


Multiple access of SAW radio sensors

With only one sensor in the beam of the reader unit, everything is fine:



More than one... ???



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Schematic layout of a SAW ID tag with several transducers wired together to a common bus bar



Good design for quartz materials and other substrates with small dielectric and piezoelectric constants

Schematic layout of a reflective SAW tag



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SAW ID tag with every reflector arranged in a separate track



+No close multi reflections

+No dependence of actual bit level on precursors

- need reflectors with high reflectivity

----- need a transducer with a huge aperture



SAW ID tag where all reflectors are arranged in the same acoustic track



Layout of typical transducers for SAW ID tags and radio requestable sensors





split finger transducer

pitch: $\lambda/4$



unidirectional transducer



pitch: $\lambda/4$ and $\lambda/8$



Layout of reflectors



Schematic of triple reflection resulting in a delayed spurious signal





Loss of a 33 bit ID-tag as a function of the number of reflectors lined up in one track



Design parameter:

• Amplitude weighting (ON/OFF)

• 33 bits

- dynamic (IL(ON)/IL(OFF)>20dB)
- propagation loss between two contiguous reflectors 0.38dB

• loss due to passing twice a reflector 0.75dB

Layout, Photo and measurements of a mounted SAW ID tag comprising 33 reflectors in 4 tracks ($f_0 = 2.45$ GHz) (Amplitude Coded)



Coding Schemes

• Amplitude Coding (ON/OFF)

- + insensitive on small velocity variations
- + temperature effects can be eliminated using a start and stop bit
- ± problems with the uniformity can be avoided by using special OFF structures with the same damping but no reflective properties than ON structures
- high insertion loss due to the high amount of reflectors (and also due to the OFF structures)

Phase Coding

- + 2PSK: lower bit error rate by using a 2-PSK coding scheme than by ON/OFFamplitude coding with the same signal-to noise-ratio
- + 4-PSK and higher coding schemas are possible, which reduces the total amount of reflectors / symbols
- very sensitive to small velocity variations
- temperature effects have to be cancelled very carefully

• Pulse Position Coding

- + higher coding schemas are possible
- + insensitive on small velocity variations and temperature variations
- + small insertion loss due to the small amount of reflectors used

Layout and Photo of a mounted SAW ID tag comprised of 5 reflectors in one tracks (f0 = 2.45 GHz) using pulse position coding



Baumer electric



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 - (Reflective) Delay Lines
 - Resonators
 - (Reflective) Dispersive Delay Lines
 - Non-linear Sensors
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Photo of a mounted SAW radio readable temperature sensor and corresponding time domain response



Time domain response



phases in a polar chart

Evaluation of the phase difference



... enhances the time resolution by a factor of 100 and

yields to a relative resolution of 10⁻⁵ to 10⁻⁶.

SAW Sensors using a Delay Line Configuration

In most sensing applications using a delay line a differential arrangement is applied and the change Δ in the difference of

$$\tau_{2-1} = \tau_2 - \tau_1 \phi_{2-1} = \phi_2 - \phi_1$$

between two signals (#1) and (#2) is evaluated. For $\Delta \tau_{2-1}$ and $\Delta \phi_{2-1}$ we get:

$$\Delta \tau_{2-1} = \left[\tau_2(\mathbf{y}_0) \mathbf{S}_{\mathbf{y},2}^{\tau} - \tau_1(\mathbf{y}_0) \mathbf{S}_{\mathbf{y},1}^{\tau} \right] \Delta \mathbf{y}$$
$$\Delta \varphi_{2-1} = \left[\varphi_2(\mathbf{y}_0) \mathbf{S}_{\mathbf{y},2}^{\varphi} - \varphi_1(\mathbf{y}_0) \mathbf{S}_{\mathbf{y},1}^{\varphi} \right] \Delta \mathbf{y}$$



or

SAW Sensors using a Delay Line Configuration

If the sensitivities $S^{\tau}_{y,2}$ and $S^{\tau}_{y,1}$ for the signals (#1) and (#2) are equal, we get

$$\Delta \tau_{2-1} = \tau_{2-1} \cdot S_y^{\tau} \cdot \Delta y$$
$$\Delta \varphi_{2-1} = 2\pi f \Delta \tau_{2-1} = 2\pi \cdot f \Delta \tau \cdot S_y^{\tau} \cdot \Delta y$$
$$2 \cdot (number of acoustic wave-lengths between both reflectors), typical several hundreds$$

The phase difference can be determined and measured very accurately. Thus the evaluation of the phase provides a high sensitivity.



Switch able SAW Tag operating at 2,45 GHz



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Special reflective delay line: SAW device combined with an external classical sensor





Layout of a SAW Device which can be combined with an external classical sensor



Reflector #2 is built up as transducer. The electrical port of the transducer can be loaded with the impedance of an external classical sensor. Therefore, the acoustical reflection of reflector #2 becomes a function of the applied complex load impedance, which is given by the external sensor element.



Typical Impulse Response



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Measured acoustic reflectivity of a split finger IDT as a function of the applied electrical load



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Binary SAW Sensors



- sector alignment indicators
- radio accessible switches
- readout of classical sensors with varying impedance



Schematic block diagram of a semi-active SAW tag using IDT reflectors



Circuitry for dynamic switching of one IDT reflector



Photo of a mounted SAW chip in a hybrid commutator network for switching 8 IDT reflectors



Measurements of the switching states of the switchable SAW ID-Tag shown in the last chart



Schematic drawing of a SAW resonator used as radio requestable sensor



Number of stored wavelengths $n_{\lambda} \sim Q_{loaded}$



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Signal Processing: Evaluating the Resonant Frequency of Resonators



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Resonator Mathematics

The change in centre frequency f and phase φ is given by:

$$\Delta f = - f \cdot S^{\tau} \cdot \Delta y$$
$$\Delta \varphi = 2\pi \cdot Q \cdot S^{\tau} \cdot \Delta y$$
$$Q_{OFW} \approx 10\,000$$

Same sensitivity as a delay line, but significant reduction of chip size



High-Q Dielectric Resonators





ceramic substrate

The resonator is stimulated by a RF signal, the decaying signal is detected after switching off the stimulus. e.g. for high temperature measurements

... utilizing the temperature shift of the resonance of a dielectric microwave resonator.

Special Radio Readable Resonator: Pulling of the SAW Resonator with an External Sensor





Dispersive Delay Line - Principle


Dispersive Delay Line - Mathematics

$$\Delta \tau (\Delta y) = \tau_0 S_y \Delta y \pm \frac{T}{B} \Delta f (\Delta y)$$

$$= \tau_0 \left[1 \mp \frac{T f_0}{\tau_0 B} \right] S_y^{\tau} \Delta y$$
sensitivity of a delay line
$$\Delta \varphi_{2-1} = S_{delay \ line} \cdot S_y^{\tau} \cdot \Delta y$$

Dispersive Delay Line - Effect



Dispersive Delay Line - Measurement





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 - Mechatronic Sensors
 - Current Sensors
 - Water Sensors
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Application of fixed coded or writeable tags





Schematic of a master-slave control system: **fixed coded** tags are sufficient

Schematic of a material accompanying control system: write able tags are needed

Application of SAW ID-Tags a Norway Toll System





OFW ID System SOFIS installed on the

Munich Subway System



SAW ID-Tag mounted on each subway car

antenna of the 2.45 GHz interrogation unit



Tag housing

SAW Identifikation Systems **Baumer**////DENT for Manufacturing/ Logistics Management



Reader Unit



long readout distance, high temperature stability

SAW ID-Tags

 highly flexible assembly set-up,
 only one single ID system for entire production process

SAW Temperature Sensors

$$\frac{\Delta \tau}{\tau} = \left(\frac{1}{l}\frac{\Delta l}{\Delta T} - \frac{1}{v}\frac{\Delta v}{\Delta T}\right)\Delta T = \text{TCD}_1 \cdot \Delta T$$

$$\Delta \varphi = 2 \pi f_0 \Delta \tau$$



Phase difference between 3 selected reflectors on LiNbO₃-YZ-Cut versus temperature



Brake temperature of a train entering a station

reader antenna



Measurement of the rotor temperature in a 11 kW asynchronous motor



Online Temperature Monitoring System for High-Voltage Surge Arresters



Field Test Results



between temperature and absorbed energy



- •Up to 200°C standard assembly, interconnect and package techniques can be used.
- •Up to 350°C aluminium can be used for electrodes material
- •LINBO₃ can not be used for temperatures higher than 400°C for short time and 300°C for long time operation.

Delay line for testing the high temperature features of Langasit (La₃Ga₅SiO₁₄)



IDTs made with 50 nm Platinum on 4 nm Titanium



High Temperature SAW Sensors with Platinum Electrodes on Langasit (La₃Ga₅SiO₁₄)

Test chip at room temperature





Increase in Insertion Loss of a Delay Line on X,Y-Langasit (La₃Ga₅SiO₁₄) with Pt-electrodes with Increasing Temperature



SMD - packaging with W/Ni/Au-metallization before heating







Wirelessly Readable Passive Sensors for Force and Mechanical Displacement



- ... if a mounting is chosen, which lets forces act on the SAW chip to bend it, we get a wirelessly readable passive sensor for force or mechanical displacement.
- ... due to the bending of the substrate, both the surface's length and the elasticity constants are changed.

The dynamic range of monitoring the forces with SAW can be up to several tenths of kHz



SAW Torque Sensor





SAW Rotary Torque Sensor





A SAW pressure sensor type, which uses a direct bending of the SAW chip, results in a resolution of about 1% of full range.

SIEMENS tire pressure sensor, presented by G. Schimetta transceiver unit



bond wires



Schematic drawing of an experimental SAW bending beam



The friction coefficient between a car tire and the road surface, which is a key parameter when stabilising a vehicle in critical situations, can be measured by evaluating the mechanical strain in the tire surface contacting the road. This can be done by monitoring the deforming of the tread elements.

Intelligent tire due to a sensor in the tire / road contact area

SAW sensor for tire friction control





Radiography of a tire with integrated



SAW sensor integrated into a standard tire



SAW sensor for tire friction control

The deformation of a profile element gives information of the friction coefficient between dry road surface tire and road



800

800

900

900

A radio requestable SAW accelerometer can be attained if seismic mass is added

SAW accelerometer configurations:





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SAW Current Sensors



Sketch of a tire wear sensor using a GMI wire and magnetize able particles





Water Content Sensor: Scenario



Schematic of the SAW Water Content Sensor



Schematic of the Water Content Sensor Electrodes (Rods) and Corresponding Matching Circuitry




Electrical Reflection Coefficient of the Sensor Electrodes (including Matching Circuitry) versus Water Content

Change of the permitivity ε' of sandy soil with increasing water content





Two Measurements

Dry Soil (7 %)

Moist Soil (21 %)



Amplitude and Phase Differences of the Echo Signals of Reflector #2 and #1



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The potential of remotely read SAW sensors

Monitoring of physical and chemical quantities in inaccessible or hazardous zones (heat, cold, moving parts, high voltage, radiation, vacuum, poison, behind concrete, danger of explosion).

Examples:

- Identification marks (cars, persons, ...)
- Temperature of moving parts (drives, turbine blades, rotating anodes) or in vivo
- Torque of a rotating shaft
- Force, pressure, light, corpuscular radiation, contamination, current, voltage, humidity, ...
- Burning off in high-power switches
- Chemical concentration in closed containers or in waste water
- Numbered sensors (identification function), "read-me flag", positioning sensors
- Hybrid devices comprising variable-impedance elements and SAW devices



Resolution of SAW Passive Wireless Remote Sensing

measurand	physical effect	resolution
identitification	analysis of signal	32 Bit
temperature	variation of SAW velocity	0.1 K
mechatronic measurands (pressure,	variation of elastic constants	1% of full
torque, acceleration, tire-road		scale
friction)		
impedance sensors	variation of amplitude and phase	5% of full
	of reflected signal	scale
distance	signal delay	20cm
relative position	continuous measurement of	2cm
	Doppler phase	
angular positioning	measurement of Doppler phase	3 degrees



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Conclusion

- The generation and the physical properties of SAWs,
- the operating of a SAW identification system,
- the design of SAW ID tags and radio sensors
- applications of SAW ID tags
- and SAW radio readable sensors
 has been presented.

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